

JAMES DOWNING REDWOOD

100 Columbine Drive, Glenmont, NY 12077
518/478-7836 (h), 518/472-5839 (w)

EDUCATION

- LEGAL: Loyola Law School, Los Angeles, California
Degree: J.D., May, 1983
Class Rank: 9 out of 390
Grade Point Average: 87.69
- HONORS: Member, Alpha Sigma Nu National Honor Society
Member, St. Thomas More Law Honor Society
Recipient, American Society of Writers on Legal Subjects Award (Scribes)
Recipient, American Jurisprudence Awards, Constitutional Law and Torts
Dean's List
Graduated Cum Laude
- ACTIVITIES: Editor-in-Chief, Loyola of Los Angeles Law Review, 1982-1983
Staff Member, Loyola of Los Angeles Law Review, 1981-1982 (by invitation)
Executive Editor, Loyola of Los Angeles International and Comparative Law
Journal, 1981-1982
Staff Member, Loyola of Los Angeles International and Comparative Law
Journal, 1980-1981
- COLLEGE: Oberlin College, Oberlin, Ohio
Degree: B.A., June, 1971
Major: English
Minors: French, Psychology
Grade Point Average: 3.23
Honors: Dean's List

PROFESSIONAL EXPERIENCE

PROFESSOR OF LAW 1995 – Present
Albany Law School of Union University Albany, New York

Duties include instruction of courses in Administrative Law, Constitutional Law, Contracts for Masters of Legal Studies in Technology Transfer, Antitrust, Business Organizations, Commercial Law, First Amendment, Securities Regulation, Advanced Securities Regulation, Corporate Governance and Finance, Legal Profession, and Law and Literature; membership on the Academic Standards (Chair), Admissions, Enrichment, Faculty Affairs, Self-Study, Student Affairs (Chair), Ad Hoc Minority Affairs, Ad Hoc Skills, and Academic Accommodations committees; advisor to the Albany Law Journal of Science and Technology, the Asian Pacific American Law Students Association, and the People's Law Project; tutor of law students with academic and English-language difficulties in the Academic Success Workshop; and advisor to first-year and upper class law students.

ASSOCIATE PROFESSOR OF LAW 1992 – 1995
Albany Law School of Union University Albany, New York

ASSOCIATE PROFESSOR OF LAW 1989 – 1992
Albany Law School of Union University Albany, New York

STAFF ATTORNEY 1987 – 1989
Division of Enforcement, Washington, D.C.
United States Securities and Exchange Commission

Duties included investigating violations of the federal securities laws; recommending appropriate enforcement action; and reviewing and analyzing regional office cases for factual and legal sufficiency.

ASSOCIATE 1983 – 1986
Pillsbury, Madison & Sutro San Francisco, California

Duties included research into substantive and procedural law; assisting in the drafting of pleadings; and preparation of motions in securities and antitrust law cases.

MEMBER, CORAM NOBIS AD HOC COMMITTEE 1982 – 1983
Japanese-American Bar Association Los Angeles, California

Duties included the drafting of a memorandum on the constitutional law issues pertaining to the Japanese internment cases of World War II.

SUMMER ASSOCIATE 1982
O'Melveny & Myers Los Angeles, California

Duties included research and drafting of legal memoranda and giving an oral presentation to the firm's labor department in the area of conflict of laws.

TEACHER OF SCIENCE, SOCIAL STUDIES AND READING 1981 – 1982
The Growing Years School La Canada, California

Duties included part-time instruction of third through eighth grades; supervision of recreation periods; and organization of curricular-related field trips.

TEACHER OF ENGLISH AND FRENCH 1977 – 1981
La Salle High School Pasadena, California

Duties included instruction of all high school grades; Lower Division (ninth and tenth grades) counselor; Summer School Principal; Admissions Committee member; Sophomore Class Moderator; and Chess Club Moderator.

TEACHER OF ENGLISH AND FRENCH 1976 – 1977
Flintridge Preparatory School La Canada, California

Duties included instruction of eighth through twelfth grades and Chess Club Moderator.

STATISTICIAN AND COMPUTER OPERATOR 1975 – 1976
Union Memorial Hospital Baltimore, Maryland

Duties included gathering statistics and forecasting trends in hospital services.

RESEARCH ASSOCIATE 1974 – 1975
Council on Economic Priorities *San Francisco, California*

Duties included assisting in the preparation of a book on pollution control in the petroleum industry.

PROFESSOR OF ENGLISH 1972 – 1974
Van Hanh and Hoa Hao Universities *Saigon, Vietnam*

Duties included instruction of standard literary and commercial English to university and graduate students.

TEACHER OF ENGLISH 1972 – 1974
The London School, Lasan Taberd High School *Saigon, Vietnam*
and Zien Hong Language School

Duties included instruction of basic grammar, writing, reading, and conversation to grade school students.

VOLUNTEER AND SALARIED SOCIAL WORKER 1972 – 1974
Shoeshine Boys Project *Saigon, Vietnam*

Duties included assisting in the administration of a social welfare project aiding homeless street children in Vietnam.

INSTRUCTOR OF EXPERIMENTAL COLLEGE COURSE ON VIETNAM 1971 – 1972
Oberlin College *Oberlin, Ohio*

Duties included leading seminars on the culture and history of Vietnam and arranging outside speakers and films on Southeast Asia.

SUMMER RESEARCH ASSISTANT 1968
The Rouse Company *Columbia, Maryland*

Duties included soliciting, compiling, and evaluating statistical surveys on consumer buying preferences in the company-developed city of Columbia and in retail shopping malls.

LEGAL MEMBERSHIPS

State Bar of California
 United States District Court for the Northern District of California

New York State Bar

American Bar Association

New York State Bar Association

PUBLICATIONS

- “Back from the Brink: The Supreme Court Saves the SEC’s Rule 10b-5 from the Grim Reaper,” 89 UMKC L.REV. 57 (2020).
- “SEC Goes After Cryptocurrency Issuers for Selling Unregistered Securities: Howey Doing?” 22 NEW YORK BUSINESS LAW JOURNAL, No. 2 at 9 (Winter 2018).
- “A Hyphen! A Hyphen! My Kingdom for a Hyphen!” 20 NEW YORK BUSINESS LAW JOURNAL, No. 2 at 52 (Winter 2016).
- “The Scarf,” 72 COTTONWOOD 53 (Spring 2016).
- “When the War Ended,” 40 NOTRE DAME REVIEW 11 (Summer/Fall 2015).
- “The Incense Maker,” 37 THE CHARITON REVIEW No. 2, at 52 (Fall 2014).
- “The Angel of the Tenderloin,” 36 NOTRE DAME REVIEW 6 (Summer/Fall 2013).
- LOVE BENEATH THE NAPALM, UNIVERSITY OF NOTRE DAME PRESS (2013).
- To Make or to Mar: The Supreme Court Turns Away Another Securities Law Plaintiff*, 14 UNIVERSITY OF PENNSYLVANIA JOURNAL OF BUSINESS LAW 463 (2012).
- “The Summer Associate,” 33 NOTRE DAME REVIEW 42 (Winter/Spring 2012).
- “Brother Daniel’s Roses,” 29 NOTRE DAME REVIEW 44 (Winter/Spring 2010).
- Anton Chekhov’s “Home” and “A Visit to Friends”: The Dichotomy Between the Personal and the Professional, or the Lawyer Subjectified and Objectified*, 39 STETSON LAW REVIEW 47 (Fall 2009).
- “Love Beneath the Napalm,” 19 NOTRE DAME REVIEW 13 (Winter 2005), reprinted in Notre Dame Review: The First Ten Years 428 (University of Notre Dame Press 2009).
- “The Stamp Collector,” 125 TRIQUARTERLY 159 (2006).
- “The Black Phantom,” 72 NORTH DAKOTA QUARTERLY No. 4, at 120 (Fall 2005).
- “The Photograph,” XXVI THE KENYON REVIEW (New Series) No. 4, at 85 (Fall 2004).
- Book Review, U.S. Securities Regulation: All You Need To Know About Going Public, Listing, Reporting and Private Placements, by Lander, 8 NEW YORK BUSINESS L.J. 43 (2004).
- ADAMS, LONG & REDWOOD, eds. FEDERAL SECURITIES LAWS: Selected Statutes, Rules and Forms (November 15, 2002).
- Book Review, U.S. Securities Law for International Financial Transactions and Capital Markets, by Lander, 4 NEW YORK BUSINESS L.J. 41 (2000).
- “The Son Returns,” 26 BLACK WARRIOR REVIEW No. 2, at 166 (Spring/Summer 2000).

“Numbers,” 75 VIRGINIA QUARTERLY REVIEW No. 2, at 385 (Spring 1999).

Toward A More Enlightened Securities Jurisprudence in the Supreme Court? Don't Bank on It Anytime Soon, 32 HOUSTON LAW REVIEW 3 (1995), reprinted in 28 SECURITIES LAW REVIEW 355 (1996).

ADAMS, LONG & REDWOOD, eds. PROXY RULES HANDBOOK: 1995-1996 EDITION (1995).

“Whacko's Last Campaign,” 24/25 FOOTWORK: THE PATERSON LITERARY REVIEW 130 (1995).

“Mai Linh,” I MARYLAND REVIEW, No. 6, at 65 (Winter/Spring 1993-1994).

“Mother Kiet,” 9 GRASSLANDS REVIEW 19 (Summer 1993).

“Doc,” IX ROANOKE REVIEW, No. 1, at 26 (Winter 1993), reprinted in I THE ALBANY LAW LITERARY REVIEW, No. 1 at 1 (Nov.-Dec. 1993).

The Conspiracy of Law and the State in Anatole France's Crainquebille; or Law and Literature Comes of Age, 24 LOYOLA UNIVERSITY OF CHICAGO LAW JOURNAL 179 (1993).

“Singhat Lee's Finest Hour,” I LUNA NEGRA, No. 2, at 32 (Spring 1993).

Qualitative Materiality under the SEC Proxy Rules and the Fifth Amendment: A Disclosure Accident Waiting to Happen or Two Ships Passing in the Night? 1992 WISCONSIN LAW REVIEW 315.

“Breakwater Days,” 13 CAPE COD LIFE, No. 2, at 42 (May, 1991).

Note, *Dames & Moore v. Regan: Congressional Power over Foreign Affairs Held Hostage by Executive Agreement with Iran*, 15 LOYOLA OF LOS ANGELES LAW REVIEW 249 (1982).

EDITING:

EDITOR-IN-CHIEF (1997 - 2006) and MANAGING EDITOR (2006 – present), *New York Business Law Journal*

PROFESSIONAL HONORS

- LOVE BENEATH THE NAPALM, Winner, 2012, Notre Dame Review Short Fiction Prize; Finalist, Flannery O'Connor Award for Short Fiction, 2005; Foreward Reviews Book of the Year Finalist, Book of the Year 2013, Short Stories; Selected for the 2014 University Press Books for Public and Secondary School Libraries, by the Collection Development and Evaluation Section of the Reference and User Services Association
- New York State Writers Institute, University at Albany, Albany, New York: Visiting Writer, Visiting Writers Series, February 18, 2014
- Friends of the Albany Public Library, Albany, New York: Author of the Year, 2014

- Recipient of The Friend of the Class Award, Albany Law School Class of 1992
- Recipient of The Faculty Award for Excellence in Teaching, Albany Law School, 2020